

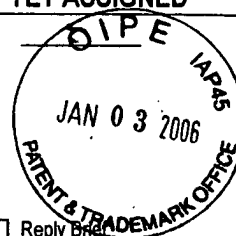
Applicant: **Yuichi KANAI, et al.**Docket No. **65933-047**Title: **METHOD AND APPARATUS FOR CONTROLLING THE RECORDING OF DIGITAL INFORMATION,  
AND RECORDING MEDIUM THEREFOR**Serial No. **NOT YET ASSIGNED**Date Sent: **10/2/2003** ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ Express Mail No.☒ Transmittal LetterNew Patent App ☒ Utility ☐ Design ☒ Cont. ☐ CIP ☐ Div. ☐ PCT ☐ RCE ☐ Prov☐ Other:**37** pages of Specification**7** pages of Claims**1** pages of Abstract**12** pages of Formal Drawings☐ Small Entity ☒ Large Entity☒ Declaration/Power of Attorney☒ Recordation of Assignment☐ Information Disclosure Statement

Form PTO 1449

copies of cited references

☐ Preliminary Amendment☐ Response to Missing Parts Notice☐ Resp. to Notice to Correct App. Papers☒ Certified Copy of Priority Doc.☒ Claim for Convention Priority☐ Response/Amendment to Office Action of☐ Request for month Extension of Time☐ Letter submitting pages of drawings☐ Req. for Approval of Drawing Amendments☐ Req. for Oral Hearing☐ Not. of Appeal ☐ Appeal Brief ☐ Reply Brief☐ Rule 312 Amendment/Letter☐ Req. for Acknowledgement of Cited Art☐ Issue Fee☐ Publication Fee☐ Req. for Certificate of Correction☐ Maintenance Fee for years after grant☐ Fee Address Indication Form☐ Terminal Disclaimer☐ Petition to Commissioner☐ Status Inquiry☐ Other17497 U.S. PTO  
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CMS Descrip.: **1-770****4-40****EARLY SERIAL NUMBER**

THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.



Docket No.: 065933-0047

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277  
Yuichi KANAI, et al. : Confirmation Number: 7798  
Application No.: 10/675,994 : Group Art Unit: 2186  
Filed: October 02, 2003 : Examiner: Stephen C. Elmore

For: METHOD AND APPARATUS FOR CONTROLLING THE RECORDING OF DIGITAL INFORMATION, AND RECORDING MEDIUM THEREFOR

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an Amendment in the above-identified application.

- ☒ No additional fee is required.  
☒ Applicant is entitled to small entity status under 37 CFR 1.27  
☒ Also attached: Substitute Specification, UDF specification, Declaration filed 10/2/03 (3 copies)

The fee has been calculated as shown below:

	NO. OF CLAIMS	HIGHEST PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	FEE
Total Claims	19	20	0	\$50.00 =	\$0.00
Independent Claims	2	3	0	\$200.00 =	\$0.00
Multiple dependent claims newly presented					\$0.00
Fee for extension of time					\$0.00
					\$0.00
Total of Above Calculations					\$0.00

- ☐ Please charge my Deposit Account No. 500417 in the amount of \$0.00. An additional copy of this transmittal sheet is submitted herewith.
- ☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment, to Deposit Account No. 500417, including any filing fees under 37 CFR 1.16 for presentation of extra claims and any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Tomoki Tanida

Limited Recognition No. L0098

Please recognize our Customer No. 20277 as our correspondence address.

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Date: January 3, 2006  
WDC99 1181490-1.065933.0047

Docket No.: 065933-0047



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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For: METHOD AND APPARATUS FOR CONTROLLING THE RECORDING OF DIGITAL INFORMATION, AND RECORDING MEDIUM THEREFOR

**SUBMISSION OF SUBSTITUTE SPECIFICATION UNDER 35 CFR 1.125**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith are a Substitute Specification together with a marked up version of the Substitute Specification. No new matter is included in the Substitute Specification.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Tomoki Tanida  
Limited Recognition No. L0098

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
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**Date: January 3, 2006**

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